

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual Honeywell International		
2. Address Address1 <u>101 CONSTITUTION AVENUE, NW</u> Address2 <u>500W</u> City <u>WASHINGTON</u> State <u>DC</u> Zip Code <u>20001</u> Country <u>USA</u>		
3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____		
4a. Contact Name Mr. <u>James Carroll</u>	b. Telephone Number <u>2026622629</u> c. E-mail <u>rsouth@stateandfed.com</u>	5. Senate ID# <u>57453-12</u>
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality Honeywell International		6. House ID# <u>351950000</u>

TYPE OF REPORT 8. Year 2021 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying	13. Organizations
INCOME relating to lobbying activities for this reporting period was: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input type="checkbox"/> \$ _____	EXPENSE relating to lobbying activities for this reporting period were: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,210,000.00</u>
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Digitally Signed By: James Carroll

Date 4/20/2021
12:37:28 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code AER

16. Specific lobbying issues

Issues related to classification of certain aerospace components for export licensing purposes Issues concerning export controls reform
 Issues related to Congressional Notification approval for CTS800 engine sale
 Issues related to air filters, airline safety, aircraft cockpit voice recorders, helicopter data recorders, and radar altimeters H.R.5974, Helicopter Safety and Terrain Awareness Warning Systems (TAWS)
 Aircraft Safety and Certification Reform Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Defense - Dept of (DOD), Federal Aviation Administration (FAA), Natl Aeronautics & Space Administration (NASA), Army - Dept of (Other), Navy - Dept of, Air Force - Dept of, Defense Advanced Research Projects Agency (DARPA), Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

FY21 and FY22 Defense Appropriations
 FY22 Transportation Appropriations
 Issues related to funding for Department of Defense (DoD) electronic warfare, turbine engines, advanced personal protective systems, advanced battery, electronic materials technologies, and training aircraft, unmanned aerial systems, advanced air mobility systems, and space Survivable microelectronics, advanced communications and navigation, thermal management, and ballistic missile technologies Discussions regarding national debt
 FY21 Interior and Environment Appropriations: EPA budget related to Significant New Alternatives Program and Montreal Protocol FY21 State Department, Foreign Operations and Related Appropriations: State Department budget related to Montreal Protocol FY21 Department of Energy Office of Science Appropriations
 FY21 Energy and Water; nuclear issues
 Discussions regarding Coronavirus Aid, Relief, and Economic Security (CARES) Act
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Natl Aeronautics & Space Administration (NASA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code DEF

16. Specific lobbying issues

Issues related to defense acquisition reform, to include the acquisition policies relating to commercial products and services Issues related to Defense Production Act Title III funding
 S.4049/H.R.6395, National Defense Authorization Act, 2021 H.R.7617, Defense Appropriations, 2021
 Department of Defense Cybersecurity Maturity Model Certification Issues regarding Buy American Act for the Department of Defense
 Issues regarding restrictions on the use of certain printed circuit boards for the Department of Defense
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Navy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joseph	Fengler			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

Issues concerning Superfund site cleanups (no pending legislation)
 Issues concerning energy conservation, energy research and development, low global warming offerings, FIFRA registration, and programs administered by the EPA Issues related to mobile air conditioning, biofuels, fuel efficient vehicles, FGas regulation, various EPA rules, climate change, and energy efficiency Appropriations for EPA
 Cafe/GHG emissions standards

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Council on Environmental Quality (CEQ), Energy - Dept of, Environmental Protection Agency (EPA), Natl Security Council (NSC), White House Office, Agriculture - Dept of (USDA), Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FOR

16. Specific lobbying issues

Issues related to Montreal Protocol Ratification

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Environmental Protection Agency (EPA), State - Dept of (DOS), Natl Security Council (NSC), Natl Economic Council (NEC), Office of Management & Budget (OMB), Council on Environmental Quality (CEQ)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

Issues related to hydrofluoric acid (no pending legislation)
 Issues related to cybersecurity legislation, data security, and quantum computing S.734/H.R.1668, Internet of Things Cybersecurity Improvement Act of 2019 S.1457, SUPPLY CHAIN Act of 2019
 NDAA Sect 889, Prohibition of Telecommunications and Video Surveillance Equipment Issues related to: privacy legislation, 5G legislation, and AI legislation
 S.893/H.R. 2881 Secure 5G and Beyond Act of 2019
 H.R. 2575 AI in Government Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Homeland Security - Dept of (DHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Issues related to FAA, FHA, and automotive technology programs Issues related to Corporate Average Fuel Economy and greenhouse gas Issues related to environmentally friendly asphalt
 Issues related to autonomous vehicles
 Issues related to self-contained breathing apparatus (SCBA) testing Issues related to the Pipeline Safety Act
 Issues related to airline safety, aircraft cockpit voice recorders, helicopter data recorders, radar altimeters H.R.5974, Helicopter Safety and Terrain Awareness Warning Systems (TAWS)
 Aircraft Safety and Certification Reform Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Environmental Protection Agency (EPA), Federal Aviation Administration (FAA), Federal Highway Administration (FHA), Natl Highway Traffic Safety Administration (NHTSA), Transportation - Dept of (DOT), Pipeline & Hazardous Materials Safety Administration

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to TCJA Issues related to Global Digital Services Tax Proposals Issues related to temporary expiring tax provisions
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17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES
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18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

Issues related to energy efficiency in commercial and residential buildings, and advanced building controls
 Issues related to industrial technologies
 Issues related to carbon capture and sequestration technologies
 Issues related to nuclear energy
 Issues related to Department of Energy wind technology programs (no pending legislation)
 Issues related to renewable energy (no pending legislation)
 Issues related to Smart Grid (no pending legislation) Issues related to natural gas
 Issues related to energy savings performance contracts Department of Energy appropriations
 S.1706/H.R.3079, Energy Savings and Public Private Partnership Act of 2019 S.1857, Federal Energy and Water Management Performance Act of 2019
 S. 1245 All-of-the Above Federal Building Energy Conservation Act of 2019
 S. 2137 Energy Savings and Industrial Competitiveness Act of 2019
 Issues related to energy storage
 Issues related to smart metering Pipeline Safety Act
 American Energy Innovation Act (AEIA)
 H.R.2, Investing in a New Vision for the Environment and Surface Transportation in America Act Executive Order 13920: Securing the United States Bulk-Power System
 H.R. 4447 "Clean Economy Jobs and Innovation Act"
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Energy - Dept of, Environmental Protection Agency (EPA), Federal Energy Regulatory Commission (FERC), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Federal Communications Commission (FCC), Natl Institute of Standards & Technology (NIST), General Services Administration (GSA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Issues related to the regulatory outcomes and proposed rules resulting from the Dodd-Frank
Issues related to Export-Import Bank of the U.S.
Issues related to corporate governance
S.4049/H.R.6395, National Defense Authorization Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General issues related to existing trade policies Miscellaneous Tariff Bills
 Issues related to Sec. 301 tariffs on imports from China
 Issues related to Sec. 232 tariffs on steel and aluminum imports
 Issues related to US-Mexico-Canada Agreement (USMCA)
 Issues related to US-EU Commercial Aircraft Subsidies Dispute
 Issues related to US-Brazil bilateral trade negotiation
 Issues related to hydrofluorocarbons (HFCs) efforts/Environmental
 Issues related to the Export Control Reform Act of 2018
 U.S. Department of Commerce Military End Use Rule
 Executive Order 13873: Securing the Information and Communications Technology and Services Supply Chain
 U.S. Department of Commerce NPRM for Securing the Nation's Information and Communications Technology and Services Supply Chain Issues related to Emerging and Foundational Technologies and Relevant Rules
 Issues related to Executive Orders prohibiting WeChat/TikTok Apps
 Executive Order 13920: Securing the United States Bulk-Power System

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TOR

16. Specific lobbying issues

H.R.985, Fairness in Class Action Litigation and furthering Asbestos Claim Transparency Act of 2017 Issues related to COVID19-specific legal liability

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to public readiness and emergency preparedness Public Readiness and Emergency Preparedness (PREP) Act
H.R.4982/S.3372 – the Health Care Workforce Protection Act of 2019/2020 Vaccines: delivery and production

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Erik	Heilman			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPI

16. Specific lobbying issues

Privacy – issues related to data privacy; Consumer Online Privacy Rights Act;
H.R. 2013 Information Transparency and Personal Data Control Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client’s business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 Erik	Heilman		3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address	City	State/Province	Zip	Country	
						City State Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 2 3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	City	State/Province			
				City State Country		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 2 3 4 5 6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual Honeywell International			
2. Address Address1 <u>101 CONSTITUTION AVENUE, NW</u> Address2 <u>500W</u> City <u>WASHINGTON</u> State <u>DC</u> Zip Code <u>20001</u> Country <u>USA</u>			
3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____			
4a. Contact Name Mr. <u>James Carroll</u>	b. Telephone Number <u>2026622629</u>	c. E-mail <u>rsouth@stateandfed.com</u>	5. Senate ID# <u>57453-12</u>
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality Honeywell International			6. House ID# <u>351950000</u>

TYPE OF REPORT 8. Year 2021 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying	13. Organizations
INCOME relating to lobbying activities for this reporting period was: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input type="checkbox"/> \$ _____	EXPENSE relating to lobbying activities for this reporting period were: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,070,000.00</u>
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Digitally Signed By: James Carroll

Date 7/19/2021
9:55:47 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code AER

16. Specific lobbying issues

Issues related to classification of certain aerospace components for export licensing purposes Ground Based Augmentation System Aircraft cockpit voice recorders Helicopter terrain awareness warning systems Sanctions Issues related to Ground Based Augmentation System and H.R. 3933

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Defense - Dept of (DOD), Federal Aviation Administration (FAA), Natl Aeronautics & Space Administration (NASA), Army - Dept of (Other), Navy - Dept of, Air Force - Dept of, Defense Advanced Research Projects Agency (DARPA), Transportation - Dept of (DOT), White House Office
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18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

FY22 Defense Appropriations
 FY22 Transportation Appropriations
 FY21 Interior and Environment Appropriations: EPA budget related to Significant New Alternatives Program and Montreal Protocol FY21 State Department, Foreign Operations and Related Appropriations: State Department budget related to Montreal Protocol FY21 Department of Energy Office of Science Appropriations
 FY21 Energy and Water; nuclear issues
 Discussions regarding Coronavirus Aid, Relief, and Economic Security (CARES) Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Natl Aeronautics & Space Administration (NASA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code DEF

16. Specific lobbying issues

Issues related to defense acquisition reform, to include the acquisition policies relating to commercial products and services
 Issues related to Defense Production Act Title III funding
 National Defense Authorization Act
 Department of Defense Cybersecurity Maturity Model Certification Issues regarding Buy American Act for the Department of Defense
 Issues related to US Army engines, generators, and auxillary power units
 Issures related embedded global positioning system inertial navigation systems
 Issues related to F-35, F-22, F-18, CH-47
 Issues related to hypersonics

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Navy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

Issues concerning Superfund site cleanups (no pending legislation)
 Issues concerning energy conservation, energy research and development, low global warming offerings, FIFRA registration, and programs administered by the EPA Issues related to mobile air conditioning, biofuels, fuel efficient vehicles, FGas regulation, various EPA rules, climate change, and energy efficiency Appropriations for EPA
 Cafe/GHG emissions standards
 HR 2801: METHANE Act of 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Council on Environmental Quality (CEQ), Energy - Dept of, Environmental Protection Agency (EPA), Natl Security Council (NSC), White House Office, Agriculture - Dept of (USDA), Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FOR

16. Specific lobbying issues

Issues related to Montreal Protocol Ratification

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Environmental Protection Agency (EPA), State - Dept of (DOS), Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

Issues related to hydrofluoric acid (no pending legislation)
 Issues related to cybersecurity legislation, data security, and quantum computing S.734/H.R.1668, Internet of Things Cybersecurity Improvement Act of 2019 S.1457, SUPPLY CHAIN Act of 2019
 NDAA Sect 889, Prohibition of Telecommunications and Video Surveillance Equipment Issues related to: privacy legislation, 5G legislation, and AI legislation
 S.893/H.R. 2881 Secure 5G and Beyond Act of 2019
 H.R. 2575 AI in Government Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Homeland Security - Dept of (DHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Issues related to FAA, FHA, and automotive technology programs Issues related to Corporate Average Fuel Economy and greenhouse gas Issues related to environmentally friendly asphalt
 Issues related to autonomous vehicles
 Issues related to Ground Based Augmentation System and H.R. 3933

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Environmental Protection Agency (EPA), Federal Aviation Administration (FAA), Federal Highway Administration (FHA), Natl Highway Traffic Safety Administration (NHTSA), Transportation - Dept of (DOT), Pipeline & Hazardous Materials Safety Administration

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to TCJA
 Issues related to Global Digital Services Tax Proposals
 Issues related to temporary expiring tax provisions
 Clean Energy tax issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Stacey	Bernards		Press Secretary Office of Rep Hoyer 2001-2002 Press Secretary Office of Min Whip Hoyer 2003-2006 Comms Director and Senior Advisor Office of the Majority Leader 2007-2008 Deputy Chief of Staff Office of the Majority Leader/Minority Whip2009-2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

Issues related to energy efficiency in commercial and residential buildings, and advanced building controls
 Issues related to industrial technologies
 Issues related to carbon capture and sequestration technologies
 Issues related to nuclear energy
 Issues related to Department of Energy wind technology programs (no pending legislation)
 Issues related to renewable energy (no pending legislation)
 Issues related to Smart Grid (no pending legislation) Issues related to natural gas
 Issues related to energy savings performance contracts Department of Energy appropriations
 S.1857, Federal Energy and Water Management Performance Act of 2019
 Issues related to energy storage
 Issues related to smart metering Pipeline Safety Act
 American Energy Innovation Act (AEIA)
 H.R.2, Investing in a New Vision for the Environment and Surface Transportation in America Act Executive Order 13920: Securing the United States Bulk-Power System
 H.R. 4447 "Clean Economy Jobs and Innovation Act"
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Energy - Dept of, Environmental Protection Agency (EPA), Federal Energy Regulatory Commission (FERC), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Federal Communications Commission (FCC), Natl Institute of Standards & Technology (NIST), General Services Administration (GSA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Stacey	Bernards		Press Secretary Office of Rep Hoyer 2001-2002 Press Secretary Office of Min Whip Hoyer 2003-2006 Comms Director and Senior Advisor Office of the Majority Leader 2007-2008 Deputy Chief of Staff Office of the Majority Leader/Minority Whip2009-2011	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Issues related to the regulatory outcomes and proposed rules resulting from the Dodd-Frank
 Issues related to Export-Import Bank
 Issues related to corporate governance
 S.4049/H.R.6395, National Defense Authorization Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General issues related to existing trade policies Miscellaneous Tariff Bills
 Issues related to Sec. 301 tariffs on imports from China
 Issues related to Sec. 232 tariffs on steel and aluminum imports
 Issues related to US-Mexico-Canada Agreement (USMCA)
 Issues related to US-EU Commercial Aircraft Subsidies Dispute
 Issues related to US-Brazil bilateral trade negotiation
 Issues related to hydrofluorocarbons (HFCs) efforts/Environmental
 Issues related to the Export Control Reform Act of 2018
 U.S. Department of Commerce Military End Use Rule
 Executive Order 13873: Securing the Information and Communications Technology and Services Supply Chain
 U.S. Department of Commerce NPRM for Securing the Nation's Information and Communications Technology and Services Supply Chain Issues related to Emerging and Foundational Technologies and Relevant Rules
 Issues related to Executive Orders prohibiting WeChat/TikTok Apps
 Executive Order 13920: Securing the United States Bulk-Power System
 Additional tech and data related EO's and rules

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR), White House Office, Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Donna	Rogers			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TOR

16. Specific lobbying issues

H.R.985, Fairness in Class Action Litigation and furthering Asbestos Claim Transparency Act of 2017 Issues related to COVID 19-specific legal liability

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to public readiness and emergency preparedness Public Readiness and Emergency Preparedness (PREP) Act
H.R.4982/S.3372 – the Health Care Workforce Protection Act of 2019/2020 Vaccines: delivery and production
COVID related issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPI

16. Specific lobbying issues

Privacy – issues related to data privacy; Consumer Online Privacy Rights Act;
 H.R. 2013 Information Transparency and Personal Data Control Act
 Related Privacy issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client’s business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

	First Name	Last Name	Suffix		First Name	Last Name	Suffix
1	Donna	Rogers		3			
2				4			

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address	State/Province	Zip	Country	City	Country
	City				State	

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 2 3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	State/Province	Country			
	City			City		%
				State		
				Country		

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 3 5
2 4 6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual Honeywell International			
2. Address Address1 <u>101 CONSTITUTION AVENUE, NW</u> Address2 <u>500W</u> City <u>WASHINGTON</u> State <u>DC</u> Zip Code <u>20001</u> Country <u>USA</u>			
3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____			
4a. Contact Name Mr. <u>James Carroll</u>	b. Telephone Number <u>2026622629</u>	c. E-mail <u>rsouth@stateandfed.com</u>	5. Senate ID# <u>57453-12</u>
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality Honeywell International			6. House ID# <u>351950000</u>

TYPE OF REPORT 8. Year 2021 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying	13. Organizations
INCOME relating to lobbying activities for this reporting period was: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input type="checkbox"/> \$ _____	EXPENSE relating to lobbying activities for this reporting period were: <u>Less than \$5,000</u> <input type="checkbox"/> <u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,370,000.00</u>
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Digitally Signed By: James Carroll

Date _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code AER

16. Specific lobbying issues

Issues related to classification of certain aerospace components for export licensing purposes
 Ground Based Augmentation System
 Aircraft cockpit voice recorders
 Helicopter terrain awareness warning systems
 Sanctions
 Issues related to Ground Based Augmentation System and H.R. 3933
 Beyond Visual Line of Sight operations for Unmanned Aerial Vehicles (UAVs)
 Advanced and Urban Air Mobility (UAS/UAM)
 Air safety of the National Airspace System
 Cybersecurity Incident Reporting (S. 2875)
 Department of Energy Cyber Sprint and Presidential National Security Memorandum

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Defense - Dept of (DOD), Federal Aviation Administration (FAA), Natl Aeronautics & Space Administration (NASA), Army - Dept of (Other), Navy - Dept of, Air Force - Dept of, Defense Advanced Research Projects Agency (DARPA), Transportation - Dept of (DOT), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Sean	Deverey		Professional Staff Member, House Small Business Committee (2003-2007); Senior Legislative Assistant, Rep. Dennis Cardoza (D-CA) (2010-2011); Legislative Director, Rep. Robert Andrews (D-NJ) (2011-2013)	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

FY22 Defense Appropriations
 FY22 Transportation Appropriations
 FY21 Defense Appropriations
 FY21 Interior and Environment Appropriations: EPA budget related to Significant New Alternatives Program and Montreal Protocol FY21 State Department, Foreign Operations and Related Appropriations: State Department budget related to Montreal Protocol FY21 Department of Energy Office of Science Appropriations
 FY21 Energy and Water; nuclear issues
 Cyber Incident Reporting Act (S. 2875)

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Natl Aeronautics & Space Administration (NASA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Sean	Deverey		Professional Staff Member, House Small Business Committee (2003-2007); Senior Legislative Assistant, Rep. Dennis Cardoza (D-CA) (2010-2011); Legislative Director, Rep. Robert Andrews (D-NJ) (2011-2013)	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code DEF

16. Specific lobbying issues

Issues related to defense acquisition reform, to include commercial products and services, printed circuit boards, and Buy American Act
 Embedded global positioning system inertial navigation systems
 Production Act Title III funding
 National Defense Authorization Act
 Department of Defense Cybersecurity Maturity Model Certification Issues regarding Buy American Act for the Department of Defense
 Issues related to US Army engines, generators, and auxilliary power units
 Issues related embedded global positioning system inertial navigation systems
 Issues related to F-35, F-22, F-18, CH-47
 Issues related to hypersonics

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Navy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

Issues concerning Superfund site cleanups (no pending legislation)
 Issues concerning energy conservation, energy research and development, low global warming offerings, FIFRA registration, and programs administered by the EPA Issues related to mobile air conditioning, biofuels, fuel efficient vehicles, FGas regulation, various EPA rules, climate change, and energy efficiency Appropriations for EPA
 Cafe/GHG emissions standards
 Issues concerning Methane monitoring and detection, EPA methane monitoring regulation.

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Council on Environmental Quality (CEQ), Energy - Dept of, Environmental Protection Agency (EPA), Natl Security Council (NSC), White House Office, Agriculture - Dept of (USDA), Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FOR

16. Specific lobbying issues

Issues related to Montreal Protocol Ratification

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Environmental Protection Agency (EPA), State - Dept of (DOS), Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

Issues related to cybersecurity legislation, data security, and quantum computing S.734/H.R.1668, Internet of Things Cybersecurity Improvement Act of 2019 S.1457, SUPPLY CHAIN Act of 2019
NDAA Sect 889, Prohibition of Telecommunications and Video Surveillance Equipment Issues related to: privacy legislation, 5G legislation, and AI legislation
S.893/H.R. 2881 Secure 5G and Beyond Act of 2019
H.R. 2575 AI in Government Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Homeland Security - Dept of (DHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Issues related to FAA, FHA, and automotive technology programs Issues related to Corporate Average Fuel Economy and light duty vehicle greenhouse gas emissions Issues related to autonomous vehicles Issues related to Ground Based Augmentation System and H.R. 3933

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Environmental Protection Agency (EPA), Federal Aviation Administration (FAA), Federal Highway Administration (FHA), Natl Highway Traffic Safety Administration (NHTSA), Transportation - Dept of (DOT), Pipeline & Hazardous Materials Safety Administration
--

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Sean	Deverey		Professional Staff Member, House Small Business Committee (2003-2007); Senior Legislative Assistant, Rep. Dennis Cardoza (D-CA) (2010-2011); Legislative Director, Rep. Robert Andrews (D-NJ) (2011-2013)	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to TCJA Issues related to Global Digital Services Tax Proposals Issues related to temporary expiring tax provisions Clean Energy tax issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES
--

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Stacey	Bernards			<input type="checkbox"/>
Sean	Deverey		Professional Staff Member, House Small Business Committee (2003-2007); Senior Legislative Assistant, Rep. Dennis Cardoza (D-CA) (2010-2011); Legislative Director, Rep. Robert Andrews (D-NJ) (2011-2013)	<input checked="" type="checkbox"/>
Joseph	Devooght			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

Issues related to energy efficiency in commercial and residential buildings, and advanced building controls
 Issues related to industrial technologies
 Issues related to carbon capture and sequestration technologies
 Issues related to nuclear energy
 Issues related to Department of Energy wind technology programs (no pending legislation)
 Issues related to renewable energy (no pending legislation)
 Issues related to Smart Grid (no pending legislation) Issues related to natural gas
 Issues related to energy savings performance contracts Department of Energy appropriations
 Issues related to energy storage
 Issues related to smart metering Pipeline Safety Act
 H.R.2, Investing in a New Vision for the Environment and Surface Transportation in America Act Executive Order 13920: Securing the United States Bulk-Power System
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021
 Issues relating to smart cities technologies

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Energy - Dept of, Environmental Protection Agency (EPA), Federal Energy Regulatory Commission (FERC), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Federal Communications Commission (FCC), Natl Institute of Standards & Technology (NIST), General Services Administration (GSA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Jessica	Olson			<input type="checkbox"/>
Stacey	Bernards			<input type="checkbox"/>
Whitney	Mitchell		Representative Heath Shuler: Feb 2009 – Dec 2012 -LC,LA, Comm Dir; Representative Raul Ruiz: Jan 2013- Aug 2013 - Comm Dir; Representative Dan Maffei: Aug 2013-Jan 2015 - Comm Dir; Senator Kirsten Gillibrand: Jan 2016 – Feb 2020 Comm Dir	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Issues related to the regulatory outcomes and proposed rules resulting from the Dodd-Frank
 Issues related to Export-Import Bank
 Issues related to corporate governance
 S.4049/H.R.6395, National Defense Authorization Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General issues related to existing trade policies Miscellaneous Tariff Bills
 Issues related to Sec. 301 tariffs on imports from China
 Issues related to Sec. 232 tariffs on steel and aluminum imports
 Issues related to US-Mexico-Canada Agreement (USMCA)
 Issues related to US-EU Commercial Aircraft Subsidies Dispute
 Issues related to US-Brazil bilateral trade negotiation
 Issues related to hydrofluorocarbons (HFCs) efforts/Environmental
 Issues related to the Export Control Reform Act of 2018
 U.S. Department of Commerce Military End Use Rule
 Executive Order 13873: Securing the Information and Communications Technology and Services Supply Chain
 U.S. Department of Commerce NPRM for Securing the Nation's Information and Communications Technology and Services Supply Chain Issues related to Emerging and Foundational Technologies and Relevant Rules
 Issues related to Executive Orders prohibiting WeChat/TikTok Apps
 Executive Order 13920: Securing the United States Bulk-Power System
 Additional tech and data related EO's and rules

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR), White House Office, Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TOR

16. Specific lobbying issues

H.R.985, Fairness in Class Action Litigation and furthering Asbestos Claim Transparency Act of 2017 Issues related to COVID 19-specific legal liability

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Lawrence	Kast			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to public readiness and emergency preparedness Public Readiness and Emergency Preparedness (PREP) Act
H.R.4982/S.3372 – the Health Care Workforce Protection Act of 2019/2020 Vaccines: delivery and production
COVID related issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPI

16. Specific lobbying issues

Privacy – issues related to data privacy; Consumer Online Privacy Rights Act;
H.R. 2013 Information Transparency and Personal Data Control Act
Related Privacy issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client’s business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
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ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address	Principal Place of Business (city and state or country)

Name	Street Address				Principal Place of Business (city and state or country)
	City	State/Province	Zip	Country	
26. Name of each previously reported organization that is no longer affiliated with the registrant or client					
	Street Address				
	City	State/Province	Zip	Country	

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country			
28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization						

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual Honeywell International	
2. Address Address1 <u>101 CONSTITUTION AVENUE, NW</u> Address2 <u>500W</u> City <u>WASHINGTON</u> State <u>DC</u> Zip Code <u>20001</u> Country <u>USA</u>	
3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____	
4a. Contact Name Mr. <u>James Carroll</u>	b. Telephone Number <u>2026622629</u>
c. E-mail <u>rsouth@stateandfed.com</u>	5. Senate ID# <u>57453-12</u>
7. Client Name Honeywell International	<input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality 6. House ID# <u>351950000</u>

TYPE OF REPORT 8. Year 2021 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13	
<p>12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input checked="" type="checkbox"/> \$ <u>1,510,000.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Digitally Signed By: James Carroll Date 1/20/2022 6:47:18 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code AER

16. Specific lobbying issues

Issues related to classification of certain aerospace components for export licensing purposes
 Ground Based Augmentation System
 Aircraft cockpit voice recorders
 Helicopter terrain awareness warning systems
 Sanctions
 Issues related to Ground Based Augmentation System and H.R. 3933
 Beyond Visual Line of Sight operations for Unmanned Aerial Vehicles (UAVs)
 Advanced and Urban Air Mobility (UAS/UAM)
 Air safety of the National Airspace System
 Cybersecurity Incident Reporting (S. 2875)
 Department of Energy Cyber Sprint and Presidential National Security Memorandum
 Aviation safety related to Radar Altimeters and cockpit avionics and C-band spectrum interference
 H.R. 1339 / S. 516 "Advanced Air Mobility Coordination and Leadership Act"
 H.R. 5766 "Helicopter Data and Voice Recorder Requirement Act"

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Defense - Dept of (DOD), Federal Aviation Administration (FAA), Natl Aeronautics & Space Administration (NASA), Army - Dept of (Other), Navy - Dept of, Air Force - Dept of, Defense Advanced Research Projects Agency (DARPA), Transportation - Dept of (DOT), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Sean	Deverey			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

FY22 Defense Appropriations
 FY22 Transportation Appropriations
 FY22 Interior and Environment Appropriations: EPA budget related to Significant New Alternatives Program and Montreal Protocol FY21 State Department, Foreign Operations and Related Appropriations: State Department budget related to Montreal Protocol FY21 Department of Energy Office of Science Appropriations
 FY22 Energy and Water; nuclear issues
 Cyber Incident Reporting Act (S. 2875)
 Funding related to Quantum Computing

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Natl Aeronautics & Space Administration (NASA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Sean	Deverey			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code DEF

16. Specific lobbying issues

Issues related to defense acquisition reform, to include commercial products and services, printed circuit boards, and Buy American Act
 Embedded global positioning system inertial navigation systems
 Production Act Title III funding
 S.2792/H.R.43505/S.1605 FY22
 National Defense Authorization Act
 Department of Defense Cybersecurity Maturity Model Certification Issues regarding Buy American Act for the Department of Defense
 Issues related to US Army engines, generators, and auxiliary power units
 Issues related embedded global positioning system inertial navigation systems
 Issues related to F-35, F-22, F-18, CH-47, GBSB
 Issues related to hypersonics

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Air Force - Dept of, Army - Dept of (Other), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Navy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Joseph	DeVooght			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

Issues concerning Superfund site cleanups (no pending legislation)
 Issues concerning energy conservation, energy research and development, low global warming offerings, FIFRA registration, and programs administered by the EPA
 Issues related to mobile air conditioning, biofuels, fuel efficient vehicles, FGas regulation, various EPA rules, climate change, and energy efficiency Appropriations for EPA
 Cafe/GHG emissions standards
 Issues concerning Methane monitoring and detection, EPA methane monitoring regulation.
 Issues related to plastics recycling

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Council on Environmental Quality (CEQ), Energy - Dept of, Environmental Protection Agency (EPA), Natl Security Council (NSC), White House Office, Agriculture - Dept of (USDA), Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FOR

16. Specific lobbying issues

Issues related to Montreal Protocol Ratification

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Environmental Protection Agency (EPA), State - Dept of (DOS), Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

Issues related to cybersecurity legislation, data security, and quantum computing S.734/H.R.1668, Internet of Things Cybersecurity Improvement Act of 2019 S.1457, SUPPLY CHAIN Act of 2019
 NDAA Sect 889, Prohibition of Telecommunications and Video Surveillance Equipment Issues related to: privacy legislation, 5G legislation, and AI legislation
 S.893/H.R. 2881 Secure 5G and Beyond Act of 2019
 H.R. 2575 AI in Government Act of 2019
 Issues related to transition from 3G to 5G for alarm transmission equipment
 Information Communications Technology Supply Chain Executive Order
 Supply Chain Executive Order; DOE Industrial Base and Semiconductor Supply Chain
 Cybersecurity Executive Order
 Cyber Sprint and Presidential National Security Memorandum

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Homeland Security - Dept of (DHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Issues related to FAA, FHA, and automotive technology programs
 Issues related to Corporate Average Fuel Economy and light duty vehicle greenhouse gas emissions
 Issues related to autonomous vehicles
 Issues related to Ground Based Augmentation System and H.R. 3933

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Environmental Protection Agency (EPA), Federal Aviation Administration (FAA), Federal Highway Administration (FHA), Natl Highway Traffic Safety Administration (NHTSA), Transportation - Dept of (DOT), Pipeline & Hazardous Materials Safety Administration

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
Douglas	Nash			<input type="checkbox"/>
Sean	Deverey			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to TCJA
 Issues related to Global Digital Services Tax Proposals
 Issues related to temporary expiring tax provisions
 Clean Energy tax issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Stacey	Bernards			<input type="checkbox"/>
Sean	Deverey			<input type="checkbox"/>
Joseph	Devooght			<input type="checkbox"/>
Whitney	Mitchell			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

Issues related to energy efficiency in commercial and residential buildings, and advanced building controls
 Issues related to industrial technologies
 Issues related to carbon capture and sequestration technologies
 Issues related to nuclear energy
 Issues related to Department of Energy wind technology programs (no pending legislation)
 Issues related to renewable energy (no pending legislation)
 Issues related to Smart Grid (no pending legislation) Issues related to natural gas
 Issues related to energy savings performance contracts Department of Energy appropriations
 Issues related to energy storage
 Issues related to smart metering Pipeline Safety Act
 H.R.2, Investing in a New Vision for the Environment and Surface Transportation in America Act Executive Order 13920: Securing the United States Bulk-Power System
 H.R. 133, Omnibus and COVID Relief and Response Act, 2021
 Issues relating to smart cities technologies
 Issues related to Hydrogen
 Issues related to biofuels, sustainable aviation fuels
 Issues related to energy storage
 Supply Chain Executive Order; DOE Industrial Base and Semiconductor Supply Chain
 DOE Bulk Power System Executive Order
 Cyber Sprint and Presidential National Security Memorandum

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Energy - Dept of, Environmental Protection Agency (EPA), Federal Energy Regulatory Commission (FERC), Defense - Dept of (DOD), Defense Advanced Research Projects Agency (DARPA), Federal Communications Commission (FCC), Natl Institute of Standards & Technology (NIST), General Services Administration (GSA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>
Stacey	Bernards			<input type="checkbox"/>
Whitney	Mitchell			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FIN

16. Specific lobbying issues

Issues related to the regulatory outcomes and proposed rules resulting from the Dodd-Frank
Issues related to Export-Import Bank
Issues related to corporate governance
S.4049/H.R.6395, National Defense Authorization Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General issues related to existing trade policies Miscellaneous Tariff Bills
 Issues related to Sec. 301 tariffs on imports from China
 Issues related to Sec. 232 tariffs on steel and aluminum imports
 Issues related to US-Mexico-Canada Agreement (USMCA)
 Issues related to US-EU Commercial Aircraft Subsidies Dispute
 Issues related to US-Brazil bilateral trade negotiation
 Issues related to hydrofluorocarbons (HFCs) efforts/Environmental
 Issues related to the Export Control Reform Act of 2018
 U.S. Department of Commerce Military End Use Rule
 Executive Order 13873: Securing the Information and Communications Technology and Services Supply Chain
 U.S. Department of Commerce NPRM for Securing the Nation's Information and Communications Technology and Services Supply Chain Issues related to Emerging and Foundational Technologies and Relevant Rules
 Issues related to Executive Orders prohibiting WeChat/TikTok Apps
 Executive Order 13920: Securing the United States Bulk-Power System
 Additional tech and data related EO's and rules
 Issues related to semiconductors
 Information Communications Technology Supply Chain Exec. Order
 Supply Chain Executive Order; DOE Industrial Base and Semiconductor Supply Chain
 United States Innovation and Competition Act (S. 1260)

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR), White House Office, Natl Security Council (NSC), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
Joseph	Fengler			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Rebecca	Seewald			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to public readiness and emergency preparedness Public Readiness and Emergency Preparedness (PREP) Act
H.R.4982/S.3372 – the Health Care Workforce Protection Act of 2019/2020 Vaccines: delivery and production
COVID related issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Deanna	Khananisho			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPI

16. Specific lobbying issues

Privacy – issues related to data privacy; Consumer Online Privacy Rights Act;
H.R. 2013 Information Transparency and Personal Data Control Act
Related Privacy issues

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
James	Carroll			<input type="checkbox"/>
Amy	Chiang			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TEC

16. Specific lobbying issues

Aviation safety related to Radar Altimeters and cockpit avionics and C-band spectrum interference

17. House(s) of Congress and Federal agencies Check if None

Federal Communications Commission (FCC), Federal Aviation Administration (FAA), Transportation - Dept of (DOT), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sean	Deverey			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code COM

16. Specific lobbying issues

FCC Equipment Authorization and the Secure Equipment Act (HR 3919)

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code GOV

16. Specific lobbying issues

Cybersecurity Executive Order

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code SCI

16. Specific lobbying issues

United States Innovation and Competition Act (S. 1260)

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Chiang			<input type="checkbox"/>
James	Carroll			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 Jessica	Olson		3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address	State/Province	Zip	Country	City	Country
	City				State	

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 **2** **3**

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	State/Province	Country			
	City			City		%
				State		
				Country		

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 **3** **5**
2 **4** **6**

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)